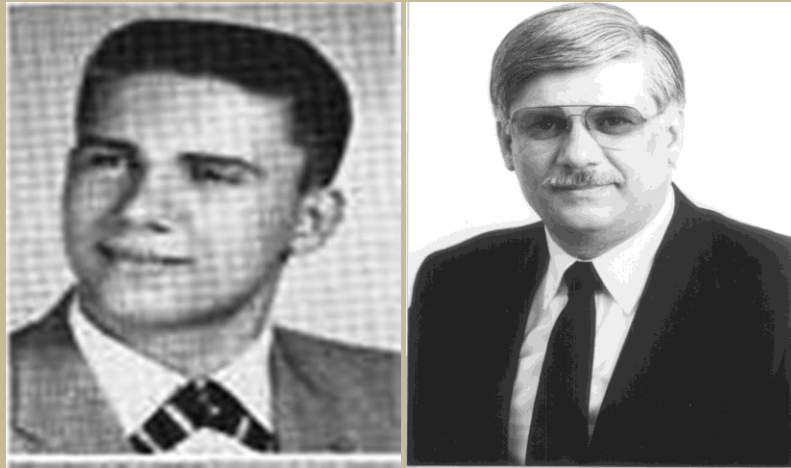


## BIOGRAPHY

### Richard (Rich) M. Hetzer



Richard (Rich) M. Hetzer, Executive Vice President for ALPS Fund Services, Inc., formerly Senior Vice President for Financial Investors Trust provides investment advice and alternatives to government , business and individuals and has over 35 years experience as a business and financial professional. At the request of General Electric Rich with two other financial professionals started Financial Investors Trust, a niche money market mutual fund servicing the government sector.

He was formerly Midwest Regional Representative / Illinois Manager for FGIC Advisors, Inc., a General Electric Company; the President/Owner of Business and Financial Consultants Unlimited; and Vice President and Government Relations Division head for the major bank of one of the largest financial companies in the world, ABN/AMRO, Rich has managed Retail, Business Conservation and Development, Public Funds, and Government Relations for many years. He initiated the use of brochures in banking before they were a common tool. Rich used "TQM" (Total Quality Management) 30 years before the concept was formalized making his division one of the most progressive in his bank by using the input of all of his staff, setting career paths for his staff with the cooperation of other division heads, and supporting continuing education. Rich promoted the first Afro-American women to the position of a bank officer in a Chicago, Illinois bank. He is also an Ameritech certified communications consultant.

Rich has a Bachelor of Science in Public Administration and was an adjunct professor for his college.

Rich has written numerous papers on finance, government and management which have been published in state and national publications.

As a volunteer Director and President of the Chicago and Northeastern Illinois Chapter of Muscular Dystrophy Association, Inc., Rich increased their donations from \$100,000 to over \$1,500,000 annually.

Rich has advised professionals, chaired seminars and addressed state, national and international conferences on Investments, cash management, government relations, bank relations and many other topics. He is a Series 6 and Series 63 Registered Representative with the National Association of Securities Dealers.

He also holds the highest certification issued by the American Institute of Banking.

Rich was a national party candidate for Cook County, Illinois Treasurer, the second largest county in the United States.

Rich was a member of the Board of Governors of the City Club of Chicago.

Rich was a major contributor to the Illinois Comptroller's publication "Managing Your Investments (A Handbook for Local government Officials)".

The author and copyright holder of "Government Banking Guidelines", Rich also contributed over 80% of the contents of the American Public Transportation Association "Cash Management Guidelines" which have been adopted throughout the United States by members of the American Public Transportation Association. Rich authored "Money Market Mutual Funds Safe, State of the Art, Investment for Illinois Governments" which was published in The Journal of School Business Management. He is also the editor of Financial Investors Advisor, a newsletter for finance professionals.

Among his many awards are Community Leaders in America, Who's Who In Finance and Industry and Who's Who in the World. Rich received the first Distinguished Service Award from the National Health Agencies and was appointed an Illinois Delegate to the White House Conference on Library and Information Services. Rich was honored by radio station WAIT, Chicago as Business Man of the Day and has received numerous acknowledgments and awards for his services to not for profit organizations and governments .

Rich was a member of the Board of Directors of the Township Officials of Illinois and a former Trustee of Schaumburg Township, Illinois and a former Trustee of the Schaumburg Township District Public Library.

Rich is a Director of the CUB (Citizens Utility Board) representing the 8<sup>th</sup> Illinois Congressional District. He also volunteers at St. Alexius Medical Center in Hoffman Estates as a Guest Coordinator.

Rich resides in Schaumburg, Illinois he and his late wife Patricia, have three grown daughters and six (6) grandchildren.